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| APPLICATION NO.        | FILING DATE       | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|------------------------|-------------------|----------------------|---------------------|------------------|
| 09/913,311             | 08/10/2001        | Mohammad Mehdianpour | P01,0114            | 8475             |
| 30596                  | 7590 . 11/18/2003 |                      | EXAMINER            |                  |
| HARNESS,<br>P.O.BOX 89 | DICKEY & PIERCE,  | NGUYEN, TAI V        |                     |                  |
| RESTON, V              | · ·               |                      | ART UNIT            | PAPER NUMBER     |
| ·                      |                   |                      | 3729                |                  |

DATE MAILED: 11/18/2003

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Please find below and/or attached an Office communication concerning this application or proceeding.

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|--|--|---|---|--------------|
| Offic Acti n Summary   |  | Application No.   | Applicant(s)  | + - 11-      |
|  |  | 09/913,311  | MEHDIANPOUR ET AL.  |              |
|  |  | Examin r  | Art Unit  |              |
|  |  | Tai Van Nguyen  | 3729  |              |
| Period fo  | The MAILING DATE of this communications  | on appears n the c ver sheet w  | vith the correspondence address   |              |
| A SH<br>THE I<br>- Exter<br>after<br>- If the<br>- If NC<br>- Failu<br>- Any I | ORTENED STATUTORY PERIOD FOR F MAILING DATE OF THIS COMMUNICAT insions of time may be available under the provisions of 37 C SIX (6) MONTHS from the mailing date of this communication is period for reply specified above is less than thirty (30) days of period for reply is specified above, the maximum statutory re to reply within the set or extended period for reply will, by reply received by the Office later than three months after the ed patent term adjustment. See 37 CFR 1.704(b).  | ION.  CFR 1.136(a). In no event, however, may a ion.  s, a reply within the statutory minimum of the period will apply and will expire SIX (6) MC at a tatute, cause the application to become A  | reply be timely filed  irty (30) days will be considered timely.  NTHS from the mailing date of this communications  BANDONED (35 U.S.C. § 133).  | on.          |
| 1)⊠  | Responsive to communication(s) filed on  | 17 September 2003.  |   |              |
| 2a)⊠   | This action is <b>FINAL</b> . 2b) ☐  | This action is non-final.   |   |              |
| 3)[  | Since this application is in condition for a closed in accordance with the practice ur   |   |   | is           |
| Dispositi  | ion of Claims  |   |   |              |
| 5)□<br>6)⊠<br>7)⊠  | Claim(s) <u>19-24 and 26-42</u> is/are pending 4a) Of the above claim(s) is/are will Claim(s) is/are allowed. Claim(s) <u>19-24 and 37-43</u> is/are rejected. Claim(s) <u>26-36</u> is/are objected to. Claim(s) are subject to restriction is  | thdrawn from consideration.   |   |              |
| •  | ion Papers   |   |   |              |
| 9)[  | The specification is objected to by the Exa  | aminer.   |   |              |
| 10)[   | The drawing(s) filed on is/are: a)   |   |   |              |
|  | Applicant may not request that any objection   |   |   | 4.0          |
| 44)["]   | Replacement drawing sheet(s) including the o   |   |   |              |
| ,  | The oath or declaration is objected to by t  | the Examiner. Note the attach   | ed Office Action of John 1 10-132.  |              |
| •  | under 35 U.S.C. §§ 119 and 120   | ioroign priority under 35 H S C   | & 110(a)-(d) or (f)   |              |
| * \$ 13)   | Acknowledgment is made of a claim for f All b) Some * c) None of:  1. Certified copies of the priority docu 2. Certified copies of the priority docu 3. Copies of the certified copies of the application from the International E See the attached detailed Office action for Acknowledgment is made of a claim for document a specific reference was included in the company of the translation of the foreign language acknowledgment is made of a claim for document and the company of the foreign language acknowledgment is made of a claim for document and the company of the foreign language acknowledgment is made of a claim for document and the company of the foreign language acknowledgment is made of a claim for document and the company of the foreign language acknowledgment is made of a claim for document and the company of the foreign language acknowledgment is made of a claim for document and the company of the foreign language acknowledgment is made of a claim for document and the company of the foreign language acknowledgment is made of a claim for document and the company of the foreign language acknowledgment is made of a claim for document and the company of the foreign language acknowledgment is made of a claim for document and the company of the com | uments have been received.  uments have been received in e priority documents have been Bureau (PCT Rule 17.2(a)). The a list of the certified copies not omestic priority under 35 U.S.C the first sentence of the specified provisional application has omestic priority under 35 U.S.C | Application No  n received in this National Stage of received. c. § 119(e) (to a provisional application or in an Application Data Sl been received. c. §§ 120 and/or 121 since a speci | heet.<br>fic |
| Attachmer  | nt(s)  |   |   |              |
| 2) Notice  | ce of References Cited (PTO-892)<br>ce of Draftsperson's Patent Drawing Review (PTO-9<br>mation Disclosure Statement(s) (PTO-1449) Paper I   | 48) 5) Notice o   | Summary (PTO-413) Paper No(s)<br>Informal Patent Application (PTO-152)  |              |

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### **DETAILED ACTION**

### Response to Amendment

1. The applicants' amendment filed 09/17/2003 (paper No. 5) has been fully considered and made of record.

### Claim Rejections - 35 USC § 112

2. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 19-24 and 26-36 are rejected under U.S.C. 112 second paragraph, as being indefinite for failing to particular point out and distinctly the subject matter which applicants regard as their invention.

In claim 19, it is unclear from the disclosure what is meant by the term "fashion" (first occurrence at line 16 and the second occurrence at 17), as this refers to the phrase of "grid fashion" and "step-by-step fashion".

# Claim Rejections - 35 USC § 102

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

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4. Claims 19-24 and 37-43 as best understood are rejected under 35 U.S.C. 102(b) as being anticipated by US Patent No. 5743005 to Nakao.

As applied to claim 19, Nakao teaches movable fitting head 9 for handling the components 11, the head including at one least gripper 15 and at least one storage element 1 including a plurality of storage spaces 3 for storing the electrical components; components, wherein the at least one storage element is separate from the at least one gripper (15) whereby component picked up the at least one gripper are depositable at the plurality of storage spaces (3) of the at least one storage element such that the deposited components are removable from the plurality of storage spaces via the at least one gripper, and wherein the plurality of storage spaces are distributed in a grid fashion on a sliding part mounted on the head (9), the sliding part (8a) being displaceable in step-by-step fashion, such that when the sliding part is displaced, the plurality of storage spaces are displaced successive (see Fig. 7).

Regarding claim 20, Nakao teaches components 11 are held at the holding (9) end of the at least one gripper 15, the holding end being movable transversely with respect to a placement direction of the component into a transfer position assigned to the transfer station 21 on the fitting head 9 and the plurality of storage spaces 3 in the fitting head 9 being successively displaced to the transfer station 21 (see Fig. 2).

Regarding claim 21, Nakao teaches at least one gripper 15 is mounted on the pivoting element 8 of the fitting head 9 and holding end pivoted transversely with respect to the placement direction, between various station i. e. a placement station 29 and the transfer station 21, via the pivoting element 8 (see Fig. 7).



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Regarding claim 22, Nakao teaches at least one gripper 15 is mounted on the pivoting element 8 such that at least one gripper is displaceable longitudinally in the placement direction (see Figs 6 and 7).

Regarding claim 23, Nakao teaches the holding end in the transfer station 21 is displaceable longitudinally in a direction relative to one of the plurality of storage spaces 3 (see reel, 2).

Regarding claim 24, Nakao teaches at least one gripper is a suction device 15 and a pressure condition in the suction device in the transfer position is controlled such that a holding force is greater that or less than a holding force exerted by one of the plurality of storage spaces. Note: The electronic component is attached to the reel 2 as the suction device's force is able remove the part from the storage unit. Hence, the force required to keep the electronic component on the reel is over come by the suction device pressure.

As applied to claim 37, Nakao teaches an apparatus for handling electrical components, comprising: an equipping head (9) for handling; the components (11), the head being movable between feed devices carrying the electrical components and a substrate (see Fig. 2) the head including, at least one storage element (1) with a plurality of storage spaces (3), and at least one gripper (15) for removing the electrical components from the feed devices (9) and for attaching the electrical components to the substrate (5), the at least one storage element and the at least one gripper each being relatively movable with respect to the other within the head (see Fig. 2), wherein the at least one gripper is further for depositing components (5a), picked up from the feeding

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devices, to the plurality of storage spaces (3) and for subsequently extracting components from the storage spaces and mounting them on the substrate.

As applied to claim 38, Nakao teaches wherein the number of storage spaces is greater than the number of grippers (see Fig. 7).

As applied to claim 39, Nakao teaches wherein the components (11) are held at a holding end of the at least one gripper (15), the holding end being movable transversely with respect to a placement direction of the components into a transfer position assigned to a transfer station (8) on the head (9), and the plurality of storage spaces (5a) in the head being successively displaced to the transfer station.

As applied to claim 40, Nakao teaches wherein the at least one gripper (15) is mounted on a pivoting element of the head (9), and the holding end is pivotable transversely, with respect to the placement direction, between a placement station and the transfer station via the pivoting element (see Fig. 7).

As applied to claim 41, Nakao teaches wherein the at least one gripper is mounted in a guide (motor 14 is Fig. 2) in the pivoting element, such that the at least one gripper is displaceable longitudinally in the placement direction.

As applied to claim 42, Nakao teaches wherein the holding (9) end in the transfer station is displaceable longitudinally in a direction relative to one of the plurality of storage spaces (3).

As applied to claim 43, Nakao teaches wherein the at least one gripper 15 is a suction device, and a pressure condition in the suction device in a transfer position is controlled such that a holding force is greater than or less than a holding force exerted

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by one of the plurality of storage spaces 5a. Note: The electronic component is attached to the reel 2 as the suction device's force is able remove the part from the storage unit. Hence, the force required to keep the electronic component on the reel is over come by the suction device pressure.

### Allowable Subject Matter

Claims 26-36 objected to as being dependent upon a rejected base claim, but 5. would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

## Response to Arguments

Applicants' argument filed 09/17/03 have been fully considered but they are not 6. persuasive.

In regards to the merits Nakao et al, the applicants contend that Nakao no longer applies to claim 19 because the limitations of canceled Claim 25 are now incorporated in to Claim 19. The examiner most respectfully disagrees to the extent that Claim 19 has been amended not only to add limitations of Claim 25, but to delete various other limitations affecting the overall scope of the claims. For example, the limitations of "said at least one gripper ... on said substrate" (lines 6-8 of Claim 19 in the amendment filed 4/8/02, paper No. 3) have been completely removed from Claim 19. Thus, a new ground of rejection is warranted and fully discussed above with respect to Nakao et al.

### Conclusion

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7. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

8. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Tai Van Nguyen whose telephone number is 703-308-1791. The examiner can normally be reached on M-F (7:30-4:30).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Peter Vo can be reached on 703-308-1789. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-308-1148.

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Tn.

November 13, 2003

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